

THE OFFICE OF SPONSORED PROGRAMS AND RESEARCH

ANNUAL FISCAL YEAR REPORT 2016–17

YOUR PARTNER IN EXTRAMURAL FUNDING

The benefits are more than just about money—it's about helping people to access graduate education and enhanced training opportunities for students and faculty.

From a young age, I knew I wanted to be a college professor; but what I didn't know is that going into academia could include so much more than teaching. Although teaching is where my passion was and always will be, over the years I learned that truly supporting your students, colleagues, program, and university can include other activities. In addition to teaching, I have been a program coordinator, accreditation visitor, published and presented, and then moved to administration. In the last five years, I have also focused on securing grants that would assist GSU students and the University.

With the professional and emotional support of the Office of Sponsored Programs and Research (OSPR), in the last four years I went from having a few small university grants to securing over \$4.2 million from three different federal grants and \$160,000 in contracts. Without the technical expertise of OSPR, I certainly would not have chosen to navigate the complicated system of federal grants. In addition, OSPR's cheerleading to submit grants, comforting when they aren't funded, and celebrating when they are, has been a crucial part of getting my first grant and continuing to apply for them. Although the primary investigators (PIs) are the experts in the subject matter, OSPR assists in identifying funding opportunities, guides in the process of writing to the requirements of the funding opportunity, and helps the PIs navigate the setting up of the grant and reporting requirements once the grant is received.

The learning opportunities and experiences for students, faculty, and myself that have been afforded through earning these grants has been an incredible experience. The grants will allow GSU to fund students directly for more than \$3.3 million in scholarships and stipends for graduate students. In addition, the rest of the funds allow support for things such as providing free workshops on trauma for students, faculty, and supervisors; enhancing the relationship between GSU and the Illinois Partnership for Resilience; providing academic materials to students, faculty, and supervisors on trauma and integrated care; and providing money for student travel to professional conferences. The benefits are more than just about money—it's about helping people access graduate education who may not have been able to do so otherwise; it's about enhanced training and opportunities for students and faculty; and it's about more professional opportunities for both students and faculty. Although writing grants can be a lot of work, and sometimes frustrating, it gets easier and easier if one utilizes the personal and professional resources that OSPR has to offer. The payoff from getting involved with grants and connecting with OSPR has been more than I ever could've expected. OSPR has helped the faculty and administrators who write these grants to touch the lives of many students and to touch the community that these students will go on to serve. The benefits of these grants in human and social capital is immeasurable. OSPR helps support the aspirations of individual faculty and administrators, but the outcome is serving GSU's primary goals of social advocacy and benefitting the personal, local, regional, national and global contexts in which GSU is embedded.

Shannon B. Dermer

Shannon B. Dermer, Ph.D. Interim Dean, College of Education

OVERVIEW

GSU at a Glance

(Source: GSU Fast Facts - Fall 2016)

• 5,819 Total Enrollment

3,517 Undergraduate

2.302 Graduate

2.954 Part-time

2,865 Full-time

• Enrollment Race/Ethnicity

36% Black or African American

34% White

18% Other

10% Hispanic

2% Asian

• Enrollment Gender

65% Female

35% Male

• Degrees Awarded (Fall 2015 - Summer 2016)

950 Undergraduates

681 Masters

70 Doctorates

· Faculty by Gender

59% Female

41% Male

GSU OSPR at a Glance – FY2017

(Source: OSPR as of 1/19/18)

• Proposals Submitted: 43, \$14 million

39 New Proposals, \$13 million

4 Continuing Proposals, \$962,011

Awarded Proposals*: 23, \$11.3 million

19 New Awarded Proposals, \$10.4 million

(1 pending)

4 Continuing Awarded Proposals, \$963,286

• Success Rate on Proposals: 53%

Success Rate on New Proposals: 49%

Success Rate on Continuing Proposals: 100%

• Active Awards: 41, \$22.8 million

Proposals Submitted by Gender

79% Female

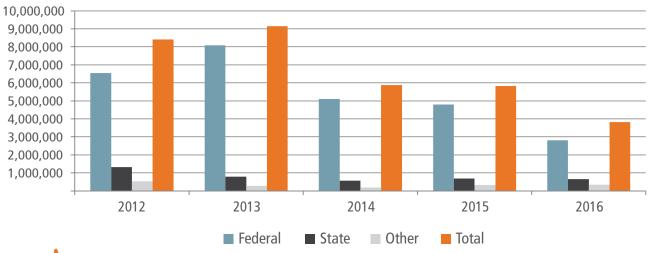
21% Male

Awarded Proposals* by Gender

86% Female

14% Male

OPERATING REVENUES FOR GRANTS AND CONTRACTS





ARRA funding was awarded in 2010, with grant spending occurring through 2015.

The American Recovery and Reinvestment Act of 2009 (ARRA) is an economic stimulus package enacted by the 111th United States Congress and signed into law by President Barack Obama on February 17, 2009.

^{*}Awards, including multi-year awards, are counted in the year they were proposed.

WHAT YOU NEED TO KNOW

The Office of Sponsored Programs and Research, the Office of the Provost, and the Grants Advisory Committee worked on many projects that addressed issues and found solutions directly related to the administration of external funding sources.

NEWS

Consultant or Employee — Determined by Human Resources

Human Resources has developed a process to determine if personnel are employees or consultants. All persons receiving payment for services must be reviewed and receive a status determination from Human Resources.

New Proposal Fringe Benefit Rates

Fringe benefits are the university's supplement contribution to an employee's salary. This includes health insurance and retirement plans. During the proposal stage, fringe benefits are budgeted as a percentage of salaries using the following rates:

- 45% for faculty (academic year);
- 17% for faculty (summer or off-contract time);
- 58% for full time staff:
- 8% for part time staff and student workers; and
- 2% for graduate assistants.

Once the award is granted, fringe benefits are charged to the grant account as a percentage of the employee's actual fringe benefit amount.

Grants Planning Committee Name Change

The Grants Planning Committee name has been changed to GSU Grants Advisory Committee. This committee is tasked with addressing issues directly related to the administration of external funding sources at GSU and providing guidance on the direction of OSPR.

Faculty Authors and Sponsored Grant Recipients Reception

On April 12, 2017, the University Library and the Office of Sponsored Programs and Research hosted a reception to honor those who had publications and wrote grants between April 2016 and March 2017. At the reception, OSPR and the provost provided gifts of appreciation to grant writers and recipients for their efforts during the 2015 – 16 fiscal year. Details are on the back cover of the OSPR Fiscal Year 2015 – 16 Annual Report. Recognitions were provided to:

- Thirteen grant writers wrote their first proposal;
- Six grant writers received their first award;
- Three grant writers wrote three or more proposals;
- Three grant writers received two or more awards; and
- Four grant writers wrote collaboration proposals.

Policies Headed to the Educational Policy Committee

A Drone Policy and Export Control Policy was sent to the Educational Policy Committee in Fall 2017.

New Fellowship Procedure

When applying for a fellowship award, faculty should plan to coordinate travel with a sabbatical leave. When fellowship supported travel occurs simultaneously with a sabbatical leave, faculty are eligible to receive standard sabbatical pay in addition to a monthly living stipend from the fellowship sponsor. Fellowship opportunities may not always coincide with the sabbatical timeframe. Faculty who cannot receive a sabbatical leave and who will receive a monthly living allowance/stipend from their fellowship during the academic year will choose one of two options.

(1) Continue to receive university salary and benefits during the academic year by remitting the full

fellowship living allowance/stipend to the university. (2) Request leave without pay for the timeframe covered by the fellowship stipend.

For Fulbright recipients, in the event that the Fulbright Scholars Program does not provide a monthly living allowance/stipend, the faculty member may be eligible to receive their standard university salary and benefits during the term of their fellowship award. The faculty member's chair, dean, and the provost must approve.

Faculty should consult an appropriate financial advisor about reporting income to the IRS and any other financial implications.



COMPLIANCE

New Environmental Health & Safety Coordinator

The Environmental Health & Safety Coordinator, Carolyn Johnson, started September 1, 2016. She met with various faculty and attended department meetings for introduction.

Compliance Oversight Committee

The committee works to resolve compliance issues at the university. If there are compliance matters that need to be addressed, Lyn Baluga can be contacted.

IRB Faculty Appointment

Faculty senate appointment elections were held for the Institutional Review Board. The appointments are being extended from two years to three years.



FY 2018 Grants Advisory Committee:

Front Row, From Left: **Ebony Jones,** Sponsored Programs Specialist, Family Development Center and Office of Sponsored Programs and Research; **Kathleen Gustafson**, Program Administrative Assistant, College of Education; **Jennifer Morehead Farmer**, Director, Office of Sponsored Programs and Research; **Dr. Erin Grey-Avis**, Assistant Professor, Biological Sciences

Back Row, From Left: Dr. Renée D. Theiss, Assistant Professor, Occupational Therapy and Physical Therapy; Dr. DeLawnia Comer-HaGans, Assistant Professor, Health Administration; Dr. Catherine Balthazar, Professor and Chair, Communication Disorders; Andrea Middleton, Assistant Controller, Financial Services; Sandra Alvarado, Director Of Human Resources Services, Human Resources; Dr. David Green, Division Chair, Accounting, Finance, MIS, Economics; Luke Helm, Coordinator of Assessment, Internships and Outreach, College of Arts and Sciences

Not Pictured: Carol Morrison, Executive Director, Family Development Center; Villalyn Baluga, Associate Vice President for Financial Services and Comptroller; Denise Jones, Sponsored Programs Specialist, Office of Sponsored Programs and Research

NEW 2017 FISCAL YEAR PROJECTS

with start dates July 1, 2016 through June 30, 2017

18 new projects were granted with a total of \$13,647,496 in awarded funds*

SDS for Mental Health Counseling

Sponsor: Health Resources and Services Administration

College: COE

Principal Investigator: Shannon Dermer

Award Amount: \$2,024,956 Dates: 7/1/2016 - 6/30/2020

Purpose: The Scholarships for Disadvantaged Students provides financial support to economically disadvantaged students attending full-time in the

Counseling Program.



SDS for Doctor of Physical Therapy

Sponsor: Health Resources and Services Administration

College: CHHS

Principal Investigator: Rebecca Wojcik

Award Amount: \$2,600,000 Dates: 7/1/2016 - 6/30/2020

Purpose: The Scholarships for Disadvantaged

Students provides financial support to economically disadvantaged students attending full-time in the

Doctor of Physical Therapy Program.

Dallas Children's Theater

Sponsor: Arts Midwest Touring Fund (National

Endowment for the Arts)

College: CAS

Principal Investigator: Svetlana Rogachevskaya

Award Amount: \$2,000

Dates: 7/1/2016 - 6/30/2017

Purpose: The goal is to have the Dallas Children's Theater perform Mufaro's Beautiful Daughters at Governors State University's Center for Performing

Arts and provide free tickets to the Chicago southland community for diverse outreach efforts.

Preschool For All Expansion

Sponsor: Illinois State Board of Education

College: COE

Principal Investigator: Carol Morrison

Award Amount: \$277.056 Dates: 7/1/2016 - 6/30/2017

Purpose: The goal is to provide excellence in Early Childhood Education. Children enrolled in the GSU Preschool Expansion program will score within or above the normal range for their age on measures of language, literacy, mathematics, gross motor, fine motor, cognition, and social emotional development upon kindergarten eligibility.

The GUIDE Cancer Research Training Project (Diversity Supplement)

Sponsor: National Institutes of Health

College: CHHS

Principal Investigator: Catherine Balthazar

Award Amount: \$104.824 Dates: 9/1/2016 - 8/31/2018

Purpose: The goal of the GUIDE parent project is to build a partnership between Governors State University and the University of Illinois Cancer Center. Through faculty training and educational opportunities for undergraduate students, the GUIDE aims to increase GSU's capacity to conduct cancer-related research focused on the needs of local communities. This funding provides for an additional Early Stage Investigator to participate in the parent grant.

Behavioral Health Workforce Education and Training for Paraprofessionals and Professionals

Sponsor: Health Resources and Services

Administration College: COE

Principal Investigator: Shannon Dermer

Award Amount: \$267,600 Dates: 9/30/2016 - 9/29/2017

Purpose: The goal is to support expanded training for 22 students placed in field experiences working with at-risk youth and their families, expose trainees to global mental health needs and expand their intercultural fluency, and support collaboration with the Partnership for Resilience.

Development of Primary Source Based Curriculum in Science

Sponsor: Library of Congress

College: COE

Principal Investigator: Andrea Evans*

Award Amount: \$588,791 Dates: 10/1/2016 - 9/30/2019

Purpose: The project aims to develop science curriculum for K-12 educators that incorporates the digital primary sources materials. The content focus will be on the issues related to global climate and the environment.

*Pamela Guimond became PI on 10/1/2017

War, Trauma, and the Humanities

Sponsor: National Endowment for the Humanities

College: CAS

Principal Investigators: Andrae Marak &

Rosemary Johnsen

Award Amount: \$100,000 Dates: 5/1/2017 - 6/30/2018

Purpose: To conduct a multi-part program on the Dialogues on the Experience of War. The program recruits and trains veteran students to serve as supplemental instructors and small group discussion leaders for a special-offering course. The course focuses on representations in the humanities of the trauma of war as it is experienced by members of the military and their families, culminating in a video recorded public townhall event and roundtable discussions.

Media Advocacy Vietnam

Sponsor: Villanova University

College: CAS

Principal Investigator: Jason Zingsheim

Award Amount: \$9.654

Dates: 12/1/2016 - 12/31/2017

Purpose: This community based research study provides support and training for Vietnam's lesbian, gay, bisexual, and transgender community to engage in media advocacy. This project involves collaboration between GSU, Northwestern University, DePaul University, and a nonprofit organization based in Ho Chi Minh City, Vietnam. Qualitative data will be analyzed to better understand how Vietnamese LGBT community members discursively construct sexual and gender minority subjectivities, as well as the dominant discourses that marginalize LGBT citizens.



Beautification Day

Sponsor: National Education Association

College: COE

Principal Investigator: Katy Hisrich

Award Amount: \$800

Dates: 1/1/2017 - 5/10/2017

Purpose: To improve the landscape of a local public school that lacks outdoor activity space for faculty or students. The outdoor environment will be more appealing and engaging by planting flowers and trees

to create a more positive atmosphere.

Promoting K-3 Mathematics Instructional Effectiveness: A Content-Based, Evidence-Driven Professional Development Program Aligned with CCSS-M

Sponsor: CME Foundation

College: COE

Principal Investigator: Xiaobo She

Award Amount: \$200,000 Dates: 6/1/2017 - 5/31/2019

Purpose: To respond to the urgent need for effective

professional development (PD) by designing,

implementing, and evaluating a yearlong, intensive PD program for K-3 school teachers in high-need schools to improve both content knowledge and instructional practices in accordance with the Common Core State

Standards for Mathematics (CCSS-M).

The Nathan Manilow Sculpture Park Butterfly Ranch

Sponsor: Openlands (ComEd)

Department: NMSP

Principal Investigator: Jeffery Stevenson (Geoffrey Bates)

Award Amount: \$10,000 Dates: 6/1/2017 - 12/31/2018

Purpose: To transform 11+ acres of successional field into a canvas for butterfly propagation while it provides students, nearby residents, and park visitors with opportunities for bird watching, biking, and hiking. Didactic signage will enhance the experience with information about the importance of the plants and insects observed.

DCFS Projects

Sponsor: IL Department of Children and Family Services

Department: Office of the Provost

Principal Investigator: Deborah Bordelon

Award Amount: \$1,225,695.28 (Non-Training)
Award Amount: \$5,592,837.86 (Training)

Dates: 7/1/2016 - 6/30/2017

Purpose: Provide services that promote permanency by maintaining, strengthening, and safeguarding the functioning of families to 1) prevent substitute care placement; 2) promote family reunification; 3) stabilize foster care placements; 4) facilitate youth development; and 5) ensure the safety, permanency, and wellbeing of children.

DDP Male Success Initiative

Sponsor: Kresge Foundation
Department: Office of the Provost

Principal Investigator: Deborah Bordelon &

Aurelio Valente

Award Amount: \$450,000 Dates: 10/1/2016 - 9/30/2019

Purpose: To expand the Dual Degree Program to include proven strategies for attracting men of color to a clear pathway from high school to community colleges to the achievement of university bachelor degrees.



The listed projects are new to the university. All funds were secured through new full proposal submissions. Previously funded multi-year projects may be listed if secured through a new proposal cycle.

Governors State University Incentive

Sponsor: IL Department of Commerce and

Economic Opportunity

Department: Facilities Development & Management

Principal Investigator: James Zumerchik Award Amount: \$61,481 (Electric) Award Amount: \$131,801 (Natural Gas)

Dates: 2/27/2017 - 5/8/2017

Purpose: The Incentive Program provides set rebate incentive levels for common retrofits and approved energy efficiency improvements.

Teaching at the University of Colombo: Contributing to the Growth of Psychology in Sri Lanka

Sponsor: Department of State - Fulbright

Scholars Program *College:* COE

Principal Investigator: Elizabeth Ruiz

Purpose: To teach activities that would provide external expertise to the University of Colombo in establishing a new psychology department and curriculum, faculty training, teaching, and student mentoring on research projects. Sharing knowledge gained from this project by writing journal articles or books on topics related to the development of organized psychology in an international context and a global perspective on psychological trauma affecting civilian populations.

INSTITUTIONAL ANIMAL CARE AND USE COMMITTEE

Ethical and humane treatment of animals is paramount in instructional and research endeavors. The GSU Institutional Animal Care and Use Committee (IACUC) oversees and reviews all protocols involving vertebrate animals, conducts inspection of animal facilities and resources, maintains compliance with federal regulations, and develops standard operating procedures and training guidelines. Our IACUC membership is broad and includes a dedicated team of faculty, staff, community members, and the institutional safety officer. We have been active this past year reviewing both new and existing animal research protocols and developing training regimens for staff and IACUC members. We have moved beyond the need for routine external consultation and now function as a self-sufficient, campuswide entity. IACUC looks forward to serving faculty, staff and students as they flourish in their research plans.

COMMITTEE CHAIRS



Dr. Walter Henne,Co-Chair & Practicing
Scientist



Dr. Renée Theiss,Co-Chair & Practicing
Scientist

INSTITUTIONAL REVIEW BOARD

In fiscal year 2017, the GSU IRB managed more than 350 open protocols, processed 89 new applications, reviewed more than 20 protocol amendments and renewals, and executed three Institutional Authorization Agreements. These numbers reflect GSU's continued strong commitment to scholarly research with human participants in academia. In FY2017, Dr. Renée Theiss, Associate Professor in the Departments of Occupational Therapy and Physical Therapy (CHHS) continued as Chair of the GSU IRB. This year, she worked with departments and individual researchers to streamline multiple similar projects into single "umbrella" and "blanket" new applications and encouraged amendments to existing IRB protocol instead of submission of new applications. These changes decreased the total number of new protocol to process by about 50 applications, although the overall IRB review work remained the same. In addition, project closure notifications were requested from researchers, which reduced file management from 500+ total protocol files to approximately 350 open and active protocols.

The IRB continues to promote education about the protection of human research subjects. In fiscal year 2017, this included presentations at all-college meetings; on-campus workshops for GSU faculty, staff, and students; and in-class presentations for graduate students. In fiscal year 2018, presentations and workshops for the GSU community will continue, along with individual consultation with divisions, departments, and programs.

NEW IRB PROTOCOL APPLICATIONS PROCESSED

NEW APPLICATION SUBMISSIONS BY COLLEGE	EXEMPT »	EXPEDITED »	FULL BOARD »
CAS	17	8	2
COB	3	1	0
CHHS	15	13	1
COE	4	15	1
Other	7	1	1
Totals	46	38	5



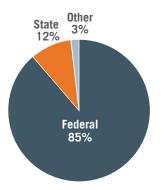
PROPOSALS AND AWARDS BY SPONSOR

(at 1/19/18)

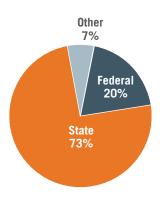
Number of proposals and dollar value of proposals submitted by fiscal year.

Fiscal Year	2012 2013		013	2014		2015		2016		2017		Total		
Sponsor Type	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
Federal	20	19,988,852	12	16,714,991		7,473,693	14	14,047,373	24	18,720,268	13	4,531,923	93	81,477,100
State		1,525,160	12	823,209	14	1,229,415		1,063,874		851,243	8	8,223,363	57	13,716,264
Local Gov		204,360		20,389		206,780		1,500		_	0	_		433,029
Other		1,616,467	13	441,948		339,298	19	4,434,922	23	729,784	22	1,207,161		8,779,580
Total		23,344,839		18,000,537	35	9,249,186	42	19,547,669	52	20,301,295	43	13,962,447	251	104,405,973

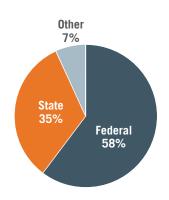
FY16 Sponsored



FY17 Sponsored



FY12-17 Sponsored



Number of awards and dollar value of awards by fiscal year.

Fiscal Year	2012 2013		2014		2015		2016		2017		Total			
Sponsor Type	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
Federal		3,059,570		1,125,818		1,399,673		7,681,703		5,318,571	5	2,305,910		20,891,245
State		1,028,798	11	928,299	11	1,068,426		814,886		749,993	8	8,223,363	49	12,717,565
Local Gov		_		_		_		1,500		_	0	_		1,500
Other		930,900		120,636		235,700		258,808	11	208,149	10	801,287	50	2,635,480
Total	17	5,019,268	21	2,174,753	23	2,703,799	22	8,756,897	19	6,276,713	23	11,330,559	125	36,261,989



Awards, including multi-year awards, are counted in the year they were proposed.

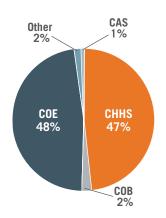
PROPOSAL AND AWARDS BY GSU UNIT

(at 1/19/18)

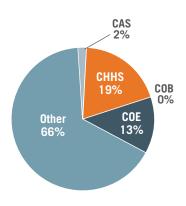
Number of proposals and dollar value of proposals submitted by fiscal year.

Fiscal Year	20	012	2013		2014		2015		2016		2017		Total	
GSU Unit	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
CAS	15	4,237,523	15	935,509	14	1,733,080	12	981,653	14	2,864,529	8	1,017,628	78	11,824,141
CHHS	9	10,214,553	6	2,853,493	4	581,995	8	3,516,114	13	12,658,216	8	3,057,198	48	32,881,569
СОВ	1	185,000	2	205,000	1	185,000	2	212,520	4	305,020	1	27,520	11	1,120,060
COE	7	2,545,099	10	1,757,564	9	2,881,438	12	11,287,748	9	4,045,707	15	2,226,266	62	24,743,822
Other	8	6,162,664	6	12,248,971	7	3,867,673	8	3,549,634	12	427,823	11	7,579,616	52	33,836,381
Total	40	23,344,839	39	18,000,537	35	9,249,186	42	19,547,669	52	20,301,295	43	13,962,447	251	104,405,973

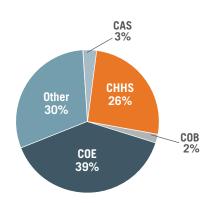
FY16 Unit Funding



FY17 Unit Funding



FY12–17 Unit Funding



Number of awards and dollar value of awards by fiscal year.

Fiscal Year	- 2012 2013		13	2014		2015		2016		2017		Total		
GSU Unit	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount	Number	Amount
CAS	5	185,555	8	213,643	7	199,050	7	123,100	5	54,979	4	220,057	36	996,384
CHHS	2	2,669,953	2	222,435	3	442,548	5	1,087,220	3	2,934,940	2	2,148,426	17	9,505,522
СОВ	1	185,000	1	185,000	1	185,000	2	212,520	2	128,770	1	28,795	8	925,085
COE	5	1,072,190	6	1,515,751	7	1,724,355	5	5,306,727	6	3,033,024	10	1,461,466	39	14,113,513
Other	4	906,570	4	37,924	5	152,846	3	2,027,330	3	125,000	6	7,471,816	25	10,721,486
Total	17	5,019,268	21	2,174,753	23	2,703,799	22	8,756,897	19	6,276,713	23	11,330,559	125	36,261,989

FY2017 ACTIVE AWARDS (7/1/16 – 6/30/17)

Principal Investigator	Project Title	Sponsor	Project Beg. Date	Project End Date	Project Amount
College of Arts and Sci	ences				
Andrae Marak & Rosemary Johnsen	War, Trauma, and the Humanities	National Endowment for the Humanities	5/1/17	6/30/18	100,000
Erin Grey-Avis (Mary Carrington)	Louis Stokes Alliance for Minority Participation	Chicago State University (National Science Foundation)	9/1/14	8/31/19	50,000
Erin Grey-Avis	Coastal Sees Collaborative Research: Changes in Ship-Borne Introductions of Invasive Species in Coupled Natural-Human Systems: Infrastructure, Global Trade, Climate and Policy	Cornell University (National Science Foundation)	9/1/16	8/31/19	80,057
Jason Zingsheim	Media Advocacy Vietnam	Villanova University	12/1/16	12/31/17	9,654
Svetlana Rogachevskaya	Center for Performing Arts Operating Support	IL Arts Council	9/15/15	11/30/16	10,000
Svetlana Rogachevskaya	The 2015 – 2016 MADE IN CHICAGO Series	Chicago Community Trust	10/5/15	11/1/16	50,000
Svetlana Rogachevskaya	Out of Bounds	New England Foundation for the Arts	10/26/15	12/1/16	2,125
Svetlana Rogachevskaya	Dallas Children's Theater	Arts Midwest (National Endowment for the Arts)	9/22/16	11/14/16	2,000
Svetlana Rogachevskaya	The 2016-2017 MADE IN CHICAGO Series	Chicago Community Trust	10/20/16	12/1/17	25,000
College of Business					
Jun Zhao	IU CIBER	Indiana University (Department of Education)	10/1/14	9/30/17	64,603
Priscilla Cordero & Lauren Esolato	Small Business Development	IL Department of Commerce and Economic Opportunity (Small Business Administration)	1/1/16	12/31/16	101,250
College of Education					
Andrea Evans*	Teaching with Primary Sources: Development of Primary Source Based Curriculum in Science	Library of Congress	10/1/16	9/30/19	588,791
Carol Morrison	Early Head Start	Administration for Children and Families	1/1/15	12/31/19	4,720,740
Carol Morrison & Erin Soto	CATCH Kids Club	Will County Partners for Health Families	6/1/15	5/31/18	1,500
Carol Morrison	Family Development Center Early Childcare Program	PNC Foundation	11/20/15	10/31/16	15,000
Carol Morrison	Preschool for All Children Program	IL State Board of Education	7/1/16	6/30/17	427,319
Carol Morrison	Preschool for All Children Program Expansion	IL State Board of Education	7/1/16	6/30/17	277,056
Carol Morrison	PNC Financial Education	PNC Foundation	1/1/17	12/31/17	10,000
Katy Hisrich	Community Beautification Project	National Education Association	1/1/17	5/10/17	800
Lucianne Brown	Teaching with Primary Sources Program	Library of Congress	10/1/15	9/30/16	200,000
Shannon Dermer	SDS for Mental Health Counseling	Health Resources and Services Administration	7/1/16	6/30/20	2,001,654
Shannon Dermer	Behavioral Health Workforce Education and Training for Paraprofessionals and Professionals	Health Resources and Services Administration	9/30/16	1/31/18	267,600
Xiaobo She	Early Math Awareness through Modeling	CME Group Foundation	6/1/14	5/30/17	170,000
Xiaobo She	Promoting K-3 Mathematics Instructional Effectiveness: A Content-Based, Evidence-Driven Professional Development Program Aligned with CCSS-M	CME Group Foundation	6/1/17	5/31/19	200,000

Principal Investigator	Project Title	Sponsor	Project Beg. Date	Project End Date	Project Amount
College of Health and H	Human Services				
Catherine Balthazar & Rupert Evans	The GUIDE Cancer Research Training Project	National Institutes of Health	9/21/15	8/31/19	716,004
Catherine Balthazar	The GUIDE Cancer Research Training Project Diversity Supplement	National Institutes of Health	9/1/16	8/31/18	104,824
Cheryl Mejta	Recovery Coaches Training Certificate Program	IL Department of Human Services (US Dept. of Health and Human Services)	7/1/16	6/30/17	230,116
DeLawnia Comer-HaGans	Healthy People 2020 and Individuals with Disabilities and Diabetes: Are We Measuring Up?	Robert Wood Johnson Foundation	9/1/15	8/31/17	98,188
Kim Boland-Prom	Public Protection in Social Work	American Foundation for Research & Consumer Education Social Work Regulation	4/15/14	8/1/17	25,000
Rebecca Wojcik	SDS for Doctor of Physical Therapy	Health Resources and Services Administration	7/1/16	6/30/20	2,567,837
Zo Ramamonjiarivelo	The Impact of Public Hospitals' Privatization on Nurse Staffing	Agency of Healthcare Research & Quality	9/1/15	8/31/17	84,760
Nathan Manilow Sculpt	ture Park				
Geoffrey Bates	Manilow Sculpture Park Operating Support	IL Arts Council	9/15/15	11/30/16	4,600
Jeffery Stevenson (Geoffrey Bates)	The Nathan Manilow Sculpture Park Butterfly Ranch	Openlands (ComEd)	6/1/17	12/31/18	10,000
Office of the Provost					
Deborah Bordelon	Integrating Pathways: Student Success through Junior Year Transition	Department of Education	10/1/15	9/30/20	1,993,139
Deborah Bordelon	Cornerstone to Capstone: Building an Equity-Minded Pathway from the Junior to the Senior Year	Association of the American Colleges and Universities	1/19/16	6/30/18	20,000
Deborah Bordelon	DCFS Non-Training Projects	Department of Children and Family Services	7/1/16	6/30/17	1,225,695
Deborah Bordelon	DCFS Training Projects	Department of Children and Family Services	7/1/16	6/30/17	5,592,838
Deborah Bordelon	DDP Male Success Initiative Pilot Program	Kresge Foundation	10/1/16	9/30/19	450,000
Student Affairs & Enrol	lment Management				
Darcie Campos	Integrating Career Preparation and Liberal Education	Anonymous Foundation	6/23/16	6/22/18	100,000
Facilities Development	& Management				
James Zumerchik	9911a Governors State University Electric Incentive	IL Department of Commerce and Economic Opportunity	2/27/17	5/8/17	61,481
James Zumerchik	9911b Governors State University Natural Gas Incentive	IL Department of Commerce and Economic Opportunity	2/27/17	5/8/17	131,802

Total Active Awards: 41 \$22,791,433



PROJECT HIGHLIGHTS

This past year was another active year for sponsored projects. Below are brief highlights on a few sponsored activities at Governors State University. Highlighted activities cover a variety of topics including student employment, LGBTQ Media Advocacy, early childhood math education, and minority STEM student support. We hope you find this informative and interesting.

War, Trauma, and the Humanities

Dr. Johnsen attended the project directors' meeting in Washington, D.C. and came back with information on grant management, project promotion, and knowledge of what other programs are doing. While in D.C., she met with staff in the offices of Sen. Durbin, Sen. Duckworth, and Rep. Kelly to discuss our grant project and GSU. Here on campus, we have secured classroom spaces, scheduled our guest speakers, and placed book orders. We are currently vetting our veteran student applicants to serve as small group discussion leaders. We were invited to speak at an American Association of State Colleges and Universities webinar on our experience with writing this grant. In addition, I was invited to give a talk at Crete's Memorial Day ceremony on the impact that our grant will have on veterans who have suffered trauma. - Andrae Marak

The Impact of Public Hospitals' Privatization on Nurse Staffing

A paper based on the grant study was accepted for a conference presentation at the Academy of Management in Atlanta during August 2017. A poster presentation at Academy Health in Boston was done in June 2016. - *Zo Ramamonjiarivelo*

Beautification Day

Thirty people from GSU, the community, and Chicago Heights Park District participated in this Earth Day activity. Student Education Association at GSU leaders, one faculty advisor, GSU students, friends and family



The Student Education Association at GSU, family, friends, and Frank Perez, Chicago Heights Park District Superintendent, after planting trees and flowers in the local park on April 26, 2017

of SEA at GSU leaders worked with members of the community and park district officials to plant trees and flowers and clean up a park that was to reopen on Earth Day. The district representative politician came out to join us in the efforts. - Katy E. Hisrich

Healthy People 2020 and Individuals with Disabilities and Diabetes: Are we Measuring Up?

My project is going well and I am currently working on two manuscripts related to the grant. I have learned how to work with the Medical Expenditure Panel Survey dataset during this project. I have also made several presentations on my topic of interest during the two-year grant. - **DeLawnia Comer-HaGans**

LGBT Media Advocacy in Vietnam

This research project is a multi-faceted endeavor integrating public service, capacity building, and traditional qualitative research. In March 2017, the research team traveled to Ho Chi Minh City (HCMC), Vietnam. At the request of our local partner, Informing, Connecting, Sharing (commonly referred to as ICS), we scheduled the training workshops for community members on two days during the weekend and extended the length of the workshops for each day. For these two days, we facilitated media advocacy workshops training 27 participants from a variety of LGBT advocacy groups. Aside from ICS staff and volunteers, there were members from groups focused on raising awareness of transgender issues, of LGBT issues in education, of LGBT issues in the workplace, and members of the local PFLAG (Parents and Friends of Lesbians and Gays) chapter. The workshops provided training and skills building practice in media advocacy; language and audience; messaging, framing, and storytelling; interviewing; and emotional labor and avoiding burnout. Throughout these two days, qualitative data on LGBTQ identity and media representation were collected through a variety of methodologies including creative focus groups, participant observation, role-playing, personal narrative writing, and practice interviewing. After the training weekend, the research team met with the full

staff of ICS to provide capacity building support. We provided self-assessment tools for the organization to take inventory of their intercultural competence as a team. We then facilitated a session on organizational development that revisits and extends the strategic planning the group has already completed. Our time concluded with debriefing the media advocacy workshops and identifying strategies for improvement in future trainings. Currently, we are in the process of data analysis after transcribing recordings. Additional data has been collected from a subsequent training and will be added for analysis. *- Jason Zingsheim*



Biology undergraduate, Melissa Franco, with her 3rd place poster in the Environmental Sciences division of the Illinois LSAMP Symposium



GSU Faculty, Dr. Timothy Gsell, at the LSAMP Symposium with students Melissa Franco (Biology BS), Diana Acosta (Chemistry BS), and Andres Martinez (Biology BS)

Louis Stokes Alliance for Minority Participation

This program provides book reimbursements, research scholarships, and funds to attend a research conference to qualified minority STEM majors. The Illinois LS-AMP program at GSU is growing and doing well. We continue to recruit more GSU STEM majors into the program and provide them with book reimbursements, research fellowships, and the opportunity to attend the Illinois LS-AMP Student Research symposium. This year, five GSU STEM majors participated in the program: four students received book reimbursements, two received research scholarships, and four presented their research at a conference. Melissa Franco (Biology) and Alejandro Gonzalez (Chemistry) conducted research through the AMP Scholar fellowship. All of the GSU LS-AMP students earned a GPA of 3.0 or higher this year, and three LS-AMP students graduated with a B.S. in either Chemistry or Biology. Every student is making progress towards a career in STEM, either through graduate school, dental school applications, or undergraduate summer internships. Melissa Franco won Third Place Environmental Science Presentation at the Illinois LS-AMP Symposium held in February 2017. - Erin Grey-Avis



EMAM teacher participants demonstrate how to teach 3-D figures

Multi-Year Project EMAM—Early Math Awareness through Modeling

We successfully completed the third year for Project EMAM-Early Math Awareness through Modeling. Through providing a one-year professional development training program to 30 Pre-K teachers, Project EMAM successfully attained three of its outcomes: increasing teachers' own perspectives about self-efficacy in regards to math instruction, enhancing the level of mathematical competencies of the children who are taught by the grant participants, and increasing teachers' level of understanding about incorporating basic principles of Bilingual/ESL Education strategies. More work is needed to develop an effective model of providing ongoing mentoring and reflection support. The external evaluators for the project concluded that overall, Project EMAM was a high-quality program that had provided important professional development to teachers and administrators working with young children that was likely to increase student achievement in mathematical competences. The external evaluators of this program interviewed 18 participating teachers. Thirteen of the teachers were teaching 3 – 5 year olds, two were teaching infants/toddlers, and three were math interventionists. All (100%) believed they are more knowledgeable about teaching math to young children as a result of their participation in this project. For example, one teacher explained, "I have always been afraid of math. Now, I use everyday materials to teach math. I think of how we can measure things. I see that children are visual learners." Another commented, "I am more conscious of pulling in math concepts. Now, I make sure I have a math component in our morning meeting. We count letters in names. We count times that we feed our frog. We work on spatial awareness. We look at snowflake shapes." For this year, we

PROJECT HIGHLIGHTS Continued

recruited 30 teacher participants. The 30 participating teachers serve approximately 360 children ages 0 - 5. Participants included early childhood teachers, teacher assistants, and math interventionists from 15 early childhood sites in the Southland suburbs and Joliet area. The professional development program provides two-hour trainings monthly. Joliet Junior College housed the sessions in its multi-media room that is connected to two early childhood classrooms. This permitted participants to use classroom materials while they were participating in the sessions. As in Years 1 and 2, the professional development integrates the elements of mathematics content, pedagogy, knowledge of child development, and integration of English as a Second Language (ESL)/Bilingual strategies. - Xiaobo She

Integrating Career Preparation with Liberal Education



Career Preparation Grant Interns

We are doing very well and are right on track to meet our outcomes and objectives. We are excited to be incorporating new workshop offerings from faculty and employers that will touch upon the importance of transferable skills in the workplace and connecting educational experiences to student's work. Additionally, students have made requests for specific programming for fall that will further influence their leadership development while preparing them for future career opportunities. Our greatest accomplishments are connecting students with career resources, seeing the value of their experiences, and understanding how they connect to their academic program. Ten students took part in campus employment opportunities provided by this grant: five in fall and spring work study opportunities and five in summer internships. All of the student employment opportunities were campusbased in areas such as the Writing Center, Career Services, Institutional Research, International Student



Isabella at work

Services, Center for Performing Arts, Extended Learning, and Development, to name a few. While only ten students participated in employment opportunities through the grant, all students were able to attend the workshop offerings throughout the academic year. - Darcie Campos

Student Accomplishments/Success Stories in their

"My technical skills were practiced immensely in my work. I was able to apply things I learned from photography classes in order to take photos with the right lighting, composition, etc. I was also able to apply things learned in graphic design classes in order to edit the photos. I used knowledge such as how to crop photos, adjust hue and saturation of a photo, and adjust the levels of a photo if the original was taken somewhat too light or dark." - Melanie Freeman

"Overall, I utilized photography skills, writing applications, strategic thought processes, design skills, and leadership skills to complete several projects for GSU. While managing my own time, I met goals efficiently and raised social media numbers considerably. Through the internship, I acquired a letter of recommendation from GSU VP Will Davis for all of my hard work and accomplishments." - Stephanie Zwartz

"I will be working as a Writing Tutor in the Fall at Governors State and will soon be a high school English teacher. The internship has helped to build my knowledge of English and composition tremendously and will be an invaluable experience to assist me in teaching." - Adam Tourlakes

"I worked at the campus newspaper before and there I was in constant communication with other writers and how to communicate with them via email, phone, etc. However, at OIS I gained a different set of skills because I was communicating with people that dealt with immigration, study abroad, and some IT affairs. Therefore, it was a different way of communicating."

- Brenda Torres 🕌



STAFF PROFESSIONAL DEVELOPMENT, NETWORKING, AND ENGAGEMENT

The Office of Sponsored Programs and Research is involved in several national organizations and participates in professional development opportunities to stay informed and up to date.

National Council of University Research

Administrators. "NCURA serves its members and advances the field of research administration through education and professional development programs, the sharing of knowledge and experience, and by fostering a professional, collegial, and respected community." (http://www.ncura.edu/AboutUs.aspx).

Staff attended the NCURA National Conference in Washington, DC during August 2016.

Federal Demonstration Partnership. "The Federal Demonstration Partnership (FDP) is an association of federal agencies, academic research institutions with administrative, faculty, and technical representation, and research policy organizations that work to streamline the administration of federally sponsored research. FDP members of all sectors cooperate in identifying, testing, and implementing new, more effective ways of managing the more than \$15 billion in federal research grants. The goal of improving the productivity of research without compromising its stewardship has benefits for the entire nation." (http://sites.nationalacademies.org/pga/fdp/pga_054588)

Faculty and staff attended two FDP meetings in Washington, D.C. during September 2016 and May 2017.

Society for Research Administrators. "The

Society of Research Administrators International (SRA International) is the premier global research management society providing education, professional development and the latest comprehensive information about research management to 5,200 members from over 40 countries."

(http://srainternational.org/about-sra-international)

Staff participated in "Letters of Intent, Preproposals, White Papers, Logic Models, and Quad Charts" webinar in March 2017.

Association of University Export Control Officers.

"The Association of University Export Control Officers (AUECO) is composed of export control officers and

other compliance officers at U.S. institutions of higher education. University export control officers are primarily responsible for administration of export, import and trade sanctions policies at their university." (http://aueco.org/about-aueco/)

Staff attended the AUECO Annual Conference in St. Pete Beach, FL during May 2017.

Life STEM Workshop: A Capacity-Building Opportunity For Minority-Serving Institutions and other STEM Education Research Organizations.

The goal of the workshop was to increase the NSF-sponsored research funding portfolio of MSIs for projects specifically designed to establish, extend, or sustain underrepresented students of color in Life-STEM academic fields and careers. To accomplish this goal, NSF program officers provided information about funding opportunities and insider tips on how to craft fundable proposals to create, implement, assess, and export innovative models that increase the Life-STEM pipeline with successful students from underrepresented groups. In turn, funded programs will contribute to the overall well-being of communities in the nation by widening social and economic opportunities.

Faculty and staff attended the "Life STEM Workshop" in Newark, NJ during June 2017.

Association of University Technology Managers.

"The Association of University Technology Managers is a nonprofit organization dedicated to bringing research to life by supporting and enhancing the global academic technology transfer profession through education, professional development, partnering and advocacy. AUTM's more than 3,200 members represent managers of intellectual property from more than 300 universities, research institutions and teaching hospitals around the world as well as numerous businesses and government organizations."

(http://www.autm.net/autm-info/)

OSPR WORKSHOPS AND TRAINING

Workshops and training opportunities are offered to the GSU community to enhance knowledge, provide tools that will increase the number of externally funded projects, and meet compliance requirements. In fiscal year 2017, 84 people attended workshops and 945 online trainings were completed.

INTERACTIVE WORKSHOPS

Workshops are in-person sessions that allow participants to interact with the presenter to ensure a better understanding of the information provided. Participants learn how to find funding, prepare proposals documents, and navigate the award process.

Export Control Training

Presented on October 27, 2016, 6 attendees
This hands-on session provided guidance with
navigating the CITI training website and completing
CITI export control training.

Institutional Review Board Process at GSU

Presented on November 2, 2016, 5 attendees

This workshop was for those who submit protocols for review to the Institutional Review Board. Any person collecting information on human subjects at or for GSU must submit a protocol application to the IRB for review and must receive approval prior to data collection. The following information was discussed:

- what should be included in the protocol
- how to complete the protocol forms
- where resources can be located
- the review process
- consent form creation
- the use of institutional data and data security

Proposal Basics: Finding Funding, Proposal Preparation, Budgeting, and Compliance

Presented on November 9, 2016, 5 attendees
Proposal Basics was an interactive workshop
where participants had the opportunity to explore
external funding sources, review external funding
announcements, and create a draft budget. Additionally,
participants received information on compliance issues
that may affect prospective projects.

Navigating CITI

Presented on January 31, 2017, 9 attendees
This hands-on workshop assisted Masters of
Communication Disorders students with navigating
CITI training website.

Responsible Conduct of Research

Presented on February 24, 2017, 34 attendees
This workshop was presented by Dr. Robert Porter
from Grant-Winners Seminars. Funding agencies are
increasingly concerned that researchers and their
students attain basic skills in responsible conduct
of research, adhering to guidelines and standards
that reflect best practices by the scientific research
community. In addition to online courses, RCR training
should include face-to-face interactions. This session
utilized a comprehensive "RCR Quiz" to stimulate
discussion, followed by case studies that were assessed
in small groups.

Pitching Your Research Ideas: A Practical Workshop on Writing the Concept Note

First Session: Presented on March 14, 2017, 5 attendees

Second Session: Presented on March 28, 2017
In two sessions, Yvonne Chang and Sarah Nerenberg demonstrated how to write and successfully use a concept note. A concept note is a one-page document to help market researchers' ideas to a potential funder when no specific proposal has been solicited. The first session discussed the types of funding agencies, how research fits into a program, and how to develop a concept note. Participants left the first session prepared to write their own concept note. In the second session, the draft concept note was critiqued during a 30 minute one-on-one meeting with either Yvonne or Sarah. Suggestions were made to strengthen the concept note, which prepared the participant to complete a concept note that is ready to send to funding agencies.

Finding Grants Basics

Presented on June 27, 2017, 26 attendees
This workshop introduced Master of Occupational
Therapy students to proposal search engines,
interpreting funding opportunity postings, and how
to match grant priorities with their interests.

CITI TRAINING

The Collaborative Institutional Training Initiative Program is a web based training program of content that covers key regulatory and ethical areas.

Lab Animal Research

45 Trainings Completed

Animal care and use training is required for anyone working with animals. The appropriate training must be complete before an IACUC protocol is approved. The following courses were taken at GSU:

- IACUC Chairs, Members, and Coordinators
- IACUC Community Member
- Institutional Official: Animal Care and Use
- Investigators, Staff, and Students
- Reducing Pain and Distress in Laboratory Mice and Rats
- Wildlife Research
- Working with Amphibians in Research Settings
- Working with Cats in Research Settings
- Working with Mice in Research

Biosafety and Biosecurity

230 Trainings Completed

Biosafety and Biosecurity training is suitable for all students and employees who handle biohazards, work in the biosafety office or on the biosafety committee. The following courses were taken at GSU:

- Animal Biosafety
- Basic Introduction to Biosafety
- Biosafety for Wildlife Researchers
- Biosafety Retraining
- Dual Use Research of Concern (DURC)
- Emergency and Incident Response to Biohazard Spills and Releases
- Human Gene Transfer
- Initial Biosafety Training
- Nanotechnology
- NIH Recombinant DNA Guidelines
- OSHA Bloodborne Pathogens
- Personal Protective Equipment
- Select Agents, Biosecurity, and Bioterrorism
- Shipping Transport of Regulates Biological Materials

Export Compliance

20 Trainings Completed

Export Compliance training is suitable for all students and employees who work with or are responsible for federally controlled devices, materials, or technologies.

Financial Conflict of Interest

37 Trainings Completed

Financial conflict of interest training is required for all Principal Investigators and key personnel who submit proposals and have awarded projects. FCOI training and FCOI Screening and Disclosure Form must be completed before any proposal can be submitted at GSU.

Human Research

481 Trainings Completed

Human research subject protection training is required for all researchers, students and employees that collect data from human subjects, including surveys. The appropriate training must be completed before an IRB protocol can be approved and data can be collected. The following courses were taken at GSU:

- IRB Biomedical Research
- IRB Chairs
- IRB for Undergraduates Class Use
- IRB Member
- IRB Social/ Behavioral Research
- IRB Specimens and Data Only

Responsible Conduct of Research

132 Trainings Completed

Responsible conduct of research training may be required by the sponsoring agency and GSU recommends that all researchers complete the online training. National Science Foundation and National Institutes of Health have RCR requirements. The following courses were taken at GSU:

- Biomedical
- Humanities
- Physical Science
- Social and Behavioral

FISCAL YEAR 2017 NOTABLES (AT 1/19/18)

List are based on proposal submissions during FY12 through FY17.

FY17, First Proposals:

- John Buenger, Information Technology Services
- Vickii Coffey, College of Health & Human Services
- Rosemary Johnsen, College of Arts & Sciences
- Alexis Sarkisian, University Library
- J. Chris Tweddle, College of Arts & Sciences
- Ellen Walsh, College of Arts & Sciences
- Jason Zingsheim, College of Arts & Sciences
- James Zumerchik, Facilities Development
 & Management

FY17, First Awards:

- Rosemary Johnsen, College of Arts & Sciences
- Andrae Marak, College of Arts & Sciences
- Elizabeth Ruiz, College of Education
- Jason Zingsheim, College of Arts & Sciences
- James Zumerchik, Facilities Development
 & Management

FY17, Most Proposals:

- Carol Morrison, 6
- Deborah Bordelon, 3
- Katy Hisrich, 3

FY17, Most Awards:

- Carol Morrison, 4
- Deborah Bordelon, 3
- Katy Hisrich, 3

FY12 to FY17, Most Active:

- Svetlana Rogachevskaya: 18 proposals,
 17 awarded 94% success rate
- Carol Morrison: 29 proposals, 18 awarded 62% success rate

FY12 to FY17, Highest Total Award Amount:

- Deborah Bordelon, \$10,156,672 6 awards
- Carol Morrison, \$9,802,825 18 awards
- Cheryl Mejta, \$3,033,481 7 awards
- Rebecca Wojcik, \$2,600,000 1 award
- Geraldine Outlaw, \$2,593,152 1 award
- Shannon Dermer, \$2,292,556 2 awards

